

# 1 Spring 2002 – Galois Theory

**Problem 1.1.** Let  $\mathbf{F}_7$  be the field with 7 elements and let  $L$  be the [splitting field](#) of the polynomial  $X^{171} - 1 = 0$  over  $\mathbf{F}_7$ . Determine the degree of  $L$  over  $\mathbf{F}_7$ , explaining carefully the principles underlying your computation.

**Solution:** Note that  $7^3 = 49 \cdot 7 = 343$ , so

$$[x \in (\mathbf{F}_{7^3})^\times] \implies [x^{342} - 1 = 0],$$

since  $(\mathbf{F}_{7^3})^\times$  is a multiplicative group of order 342. Also,  $\mathbf{F}_{7^3}$  contains all the roots of  $x^{342} - 1 = 0$  since [the number of roots of a polynomial cannot exceed its degree](#) (by the Division Algorithm). Next note that  $171 \cdot 2 = 342$ , so

$$[x^{171} - 1 = 0] \implies [x^{171} = 1] \implies [x^{342} - 1 = 0].$$

This implies that all the roots of  $X^{171} - 1$  are contained in  $\mathbf{F}_{7^3}$  and so  $L \subset \mathbf{F}_{7^3}$  since  $L$  can be obtained from  $\mathbf{F}_7$  by adjoining all the roots of  $X^{171} - 1$ . We therefore have

$$\underbrace{\mathbf{F}_{7^3} \text{---} L \text{---} \mathbf{F}_7}_3$$

and so  $L = \mathbf{F}_{7^3}$  or  $L = \mathbf{F}_7$  since  $3 = [\mathbf{F}_{7^3} : \mathbf{F}_7] = [\mathbf{F}_{7^3} : L][L : \mathbf{F}_7]$  is prime. Next if  $\alpha \in (\mathbf{F}_{7^3})^\times$ , then  $\alpha^2$  is a root of  $X^{171} - 1$ . Also,  $(\mathbf{F}_{7^3})^\times$  is cyclic and hence isomorphic to  $\mathbb{Z}_{7^3} = \{0, 1, 2, \dots, 342\}$ , so the map  $\alpha \mapsto \alpha^2$  on  $\mathbf{F}_{7^3}$  has an image of size bigger than 7:

$$2\alpha = 2\beta \Leftrightarrow 2(\alpha - \beta) = 0 \text{ in } \mathbb{Z}_{7^3} \Leftrightarrow \alpha - \beta = 171.$$

We therefore conclude that  $X^{171} - 1$  has more than 7 distinct roots and hence  $L = \mathbf{F}_{7^3}$ .  $\square$

- **Splitting Field:** A splitting field of a polynomial  $f \in K[x]$  ( $K$  a field) is an extension  $L$  of  $K$  such that  $f$  decomposes into linear factors in  $L[x]$  and  $L$  is generated over  $K$  by the roots of  $f$ .
- **Irreducible Polynomial:** An irreducible polynomial is a polynomial of positive degree that does not factor into two polynomials of positive degree.
- **Separable Polynomial:** A polynomial  $f \in K[x]$  is called separable if it does not have multiple roots in any extension of  $K$ .
- **Irreducible  $\implies$  Separable:** If  $f$  is an irreducible polynomial over the following fields then  $f$  is separable:
  - (i) Field of zero characteristic
  - (ii) Field of characteristic  $p \nmid \deg f$
  - (iii) Finite field

- **Field Extensions:** If  $L/K$  is a field extension, then  $L$  can be regarded as a vector space over  $K$ . The dimension of  $L$  over  $K$  is also called the degree of the extension and denoted  $[L : K]$ .
- **Multiplicativity of Degree:** If  $L$  is a finite extension over  $K$  and  $M$  is a finite extension over  $L$ , then  $M$  is a finite extension over  $K$  and

$$[M : K] = [M : L][L : K].$$

- **Finite Fields:** For any prime  $p$  and any natural number  $n$ , there exists a field with  $p^n$  elements which is unique up to isomorphism. These are the only finite fields.
- **Cyclicity of  $F^\times$ :** If  $F$  is a finite field, then  $F^\times$  is cyclic (Use the Fundamental Theorem of Finitely Generated Abelian Groups I and consider the biggest invariant factor  $n_k$  and the polynomial  $x^{n_k} - 1$ ).
- **Euler Function:** The Euler function  $\phi$  assigns to each positive integer  $n$  the number  $\phi(n)$  of integers  $k$  such that  $1 \leq k \leq n$  and  $(k, n) = 1$ .
  - A cyclic group of order  $n$  has  $\phi(n)$  generators.
  - $(k, n) = 1$  if and only if  $k$  is a unit in the ring  $\mathbb{Z}_n$ .

**Problem 1.2.** Show that there exists a Galois extension of  $\mathbb{Q}$  of degree  $p$  for each prime  $p$ . State precisely all results which are needed to justify your answer.

**Solution:** **The solution that was here before was incorrect.**  $x^p - a$  is irreducible over  $\mathbb{Q}$  by Eisenstein's Criterion (and hence separable since  $\mathbb{Q}$  has characteristic 0) for any  $a$  which is square-free. Now let  $E$  be the splitting field of such an  $x^p - a$ .  $\square$

- **Roots of Unity:** The (complex)  $n^{\text{th}}$  roots of unity form a cyclic group  $C_n$ . The generators of  $C_n$  are the  $n^{\text{th}}$  primitive roots of unity. These are roots of the form  $\mu_k = e^{(2\pi k/n)i}$  for  $(n, k) = 1$ .
- **Algebraic/Transcendental Elements:** Let  $E/F$  be fields. An element  $u \in E$  is algebraic over  $F$  if there exists  $f \in F[x]$  such that  $f(u) = 0$ ; otherwise  $u$  is transcendental. If  $u$  is transcendental over  $F$ , then

$$F[u] \cong F[x], \text{ where } F[u] = \{f(u) \mid f \in F[x]\}$$

- **Irreducible Polynomial  $\rightsquigarrow$  Finite Extension:** If  $h \in F[x]$  is irreducible of degree  $n$ :
  - $x + (h)$  is a root of  $h$  in  $E = F[x]/(h) = F[x + (h)]$
  - $E$  is a field. (This is NOT true if  $h$  is not irreducible!)
  - $E/F$  is finite and  $[E : F] = n$ .

- **Minimal Polynomial:** If  $u \in E$  is algebraic over  $F$ , then

$$I \equiv \{f \in F[x] \mid f(u) = 0\}$$

is an ideal in the PID  $F[x]$ . The generator of  $I$  is called the minimal polynomial of  $u$  and denoted  $m_u$ .

(i)  $m_u$  is irreducible.

(ii) The degree of  $m_u$  is called the degree of  $u$  over  $F$ .

- **Algebraic  $\iff$  Finite:** An element  $u \in E$  is algebraic over  $F$  if and only if  $F[u]$  is a finite-dimensional vector space over  $F$ . In this case  $F[u]$  is a field and

$$[F[u] : F] = \deg(m_u).$$

More precisely, we have

$$F[u] \cong F[x]/(m_u)$$

and

$$F[u] \cong F(u).$$

As a consequence, every finite field extension is algebraic ( $E/F$  is algebraic if every element of  $E$  is algebraic over  $F$ :  $E/F$  finite implies that for all  $u \in E$ ,  $E[u]$  is finite and hence  $u$  is algebraic).

- **Cyclotomic Extension (prime case):** Let  $p$  be a prime number. Consider the polynomial over  $\mathbb{Q}$

$$x^p - 1 = (x - 1)(x^{p-1} + x^{p-2} + \cdots + x + 1) \equiv (x - 1)\Phi_p,$$

the roots of which are the  $p^{\text{th}}$  roots of unity. If  $\xi$  is a primitive root of unity, then  $\mathbb{Q}(\xi)$  would be a splitting field of  $\Phi_p$  (since it will contain all  $p^{\text{th}}$  roots of unity). Since  $p$  is prime, any root of  $\Phi_p$  is a primitive root of unity.  $\Phi_p$  is irreducible:

Over  $\mathbb{Z}_p[x]$ ,  $x^p - 1 = (x - 1)^p$ , so  $[\Phi_p]_p = (x - 1)^{p-1}$ . If  $\Phi_p = gh$ , then  $[\Phi_p]_p = [g]_p[h]_p = (x - 1)^k(x - 1)^l$ , with  $k, l > 0$ , and  $k + l = p - 1$ . But then  $[g(1)]_p = [g]_p(1) = 0$  and similarly  $[h(1)]_p = 0$  so that  $\Phi_p(1) = g(1)h(1)$  is divisible by  $p^2$ , which is impossible since  $\Phi_p(1) = p$ .

From this we conclude that  $[\mathbb{Q}(\xi) : \mathbb{Q}] = p - 1$ .

- **Automorphism Groups:** Let  $E/F$  be fields. The automorphisms of  $E$  over  $F$  (i.e. automorphisms of  $E$  which fixes  $F$ ) form a group under composition denoted  $\text{Aut}_F E$ .

$$- |\text{Aut}_F E| \leq [E : F]$$

–  $E^G = F$  if and only if  $|G| = [E : F]$ , where  $G \subset \text{Aut}_F E$  is a subgroup and

$$E^G = \{u \in E \mid \sigma(u) = u, \forall \sigma \in G\}.$$

- **Galois Extension:** A finite extension  $E$  of a field  $F$  is a Galois extension if

$$|\text{Aut}_F E| = [E : F].$$

$\text{Aut}_F E$  is called the Galois group of the extension  $E$  and is denoted  $\text{Gal}(E/F)$ .

- **Separable  $\rightsquigarrow$  Galois:** Let  $f \in F[x]$  be such that all its irreducible factors are separable. Then its splitting field is a Galois extension of  $F$ .
- **Galois Correspondence:** Let  $E/F$  be Galois and let  $G = \text{Gal}(E/F)$ . We have

$$\Phi : \{\text{subfields of } E \text{ containing } F\} \longrightarrow \{\text{subgroups of } G\} : K \mapsto G_K,$$

where  $G_K = \{g \in G \mid g|_K = \text{id}\} \subset G$ , is a bijection, with inverse

$$\Psi : \{\text{subgroups of } G\} \longrightarrow \{\text{subfields of } E \text{ containing } F\} : H \mapsto E^H,$$

where  $E^H = \{\alpha \in E \mid \sigma(\alpha) = \alpha, \forall h \in H\} \subset E$ . Also

$$|G_K| = [E : K],$$

$$[E : E^H] = |H|.$$

Finally, a Galois extension of  $F$  contained in  $E$  corresponds to a normal subgroup of  $G$  and vice versa.

**Problem 1.3.** Let  $\alpha = \sqrt{i+2}$  where  $i = \sqrt{-1}$ .

- Compute the minimal polynomial of  $\alpha$  over  $\mathbf{Q}$ .
- Let  $F$  be the splitting field and compute the degree of  $F$  over  $\mathbf{Q}$ ;
- Show that  $F$  contains 3 quadratic extensions of  $\mathbf{Q}$ ;
- Use this information to determine the Galois group.

**Solution:** (a)  $\alpha^2(\alpha^2 - 4) = (i+2)(i-2) = -5$ , so  $\alpha$  is a root of

$$f \equiv x^4 - 4x^2 + 5.$$

We claim  $f$  is **irreducible** and hence  $f = m_{\mathbf{Q}}(\alpha)$ : Making a change of variable  $y = x^2$ , we know that  $(2+i)$  is a root of the polynomial  $y^2 - 4y + 5$ , so it must be the case that its complex conjugate  $(2-i)$  is also a root, so

$$f = (x^2 - (2+i))(x^2 - (2-i)) = (x - (\sqrt{2+i}))(x + (\sqrt{2+i}))(x - (\sqrt{2-i}))(x + (\sqrt{2-i})).$$

If  $f = gh$ , then the degree of  $g$  and  $h$  must add up to 4, but if say  $g$  is linear, then it is clear from the above that  $g \notin \mathbb{Q}[x]$ , so it must be the case that both  $g$  and  $h$  are quadratic. But it is equally easy to see that any product of two linear factors also does not lie in  $\mathbb{Q}[x]$ .

(b) The splitting field of  $m_{\mathbb{Q}}(\alpha)$  can be found by **adjoining all the roots**, hence is equal to

$$K \equiv \mathbb{Q}(\sqrt{2+i}, \sqrt{2-i}).$$

We have that  $[K : \mathbb{Q}] = [K : \mathbb{Q}(\sqrt{2+i})][\mathbb{Q}(\sqrt{2+i}) : \mathbb{Q}]$ . By (a), the latter is **equal to the degree of  $m_{\mathbb{Q}}(\alpha)$**  and hence is 4. Next observe that  $K = [\mathbb{Q}(\sqrt{2+i})(\sqrt{2-i})]$ , so we look for the minimal polynomial of  $\sqrt{2-i}$  over  $\mathbb{Q}(\sqrt{2+i})$ . To this end note that  $(\sqrt{2+i})(\sqrt{2-i}) = \sqrt{5}$  so  $\sqrt{2-i}$  is a root of

$$((\sqrt{2+i})x)^2 - 5 = ((\sqrt{2+i})x - \sqrt{5})((\sqrt{2+i})x + \sqrt{5}),$$

which is irreducible. So  $[K : \mathbb{Q}(\sqrt{2+i})] = 2$  and  $[K : \mathbb{Q}] = 8$ .

(c) We again note that  $(\sqrt{2+i})(\sqrt{2-i}) = \sqrt{5}$  and  $\frac{1}{2}[(\sqrt{2+i})^2 - (\sqrt{2-i})^2] = i$ , so that  $\sqrt{5}$ ,  $i\sqrt{5}$  and  $i$  are all in  $K$ . These are all quadratic extensions of  $\mathbb{Q}$  since they satisfy polynomials  $x^2 - 5 = (x - \sqrt{5})(x + \sqrt{5})$ ,  $x^2 + 5 = (x - i\sqrt{5})(x + i\sqrt{5})$  and  $x^2 + 1 = (x - i)(x + i)$ , respectively, all of which are irreducible of degree 2 (**they are irreducible since they clearly have no root in  $\mathbb{Q}$** ).

(d)  $m_{\mathbb{Q}}(\alpha)$  is separable so  $K/\mathbb{Q}$  is Galois. Let  $G = \text{Gal}(K/\mathbb{Q})$ . Then  $|G| = [K : \mathbb{Q}] = 8$ . By part (c), there are 3 quadratic extensions. In addition, all 3 extensions are in fact also Galois over  $\mathbb{Q}$  (since they are all splitting fields of the corresponding minimal polynomials, all of which are separable). By the **Galois Correspondence**, these correspond to 3 distinct normal subgroups of  $G$  of index 2 (hence order 4). There are 3 abelian groups of order 8:  $\mathbb{Z}/8\mathbb{Z}$ ,  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  and  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/4\mathbb{Z}$ , none of which has 3 subgroups of order 4. This leaves us with  $D_4$  and  $Q_8$ . The quaternion group has only one subgroup of order 2, namely  $\langle -1 \rangle$ , but again by the Galois Correspondence,  $G$  has at least 2 subgroups of order 2, corresponding to  $\mathbb{Q}(\sqrt{2+i})$  and  $\mathbb{Q}(\sqrt{2-i})$ . So we are finally left to conclude that  $G = D_4$ .  $\square$

- **Irreducibility – Degree 2 or 3:** A polynomial of degree 2 or 3 is reducible if and only if it has a root in the field in question (hence irreducible if it has no root).
- **Polynomials Over  $\mathbb{Q} \rightsquigarrow$  Galois:** The splitting field of any polynomial over  $\mathbb{Q}$  is Galois.

## 2 Winter 2002 – Fields

**Problem 2.1.** The discriminant of the special cubic polynomial  $f(x) = x^3 + ax + b$  is given by  $-4a^3 - 27b^2$ . Determine the Galois group of the splitting field of  $x^3 - x + 1$  over

- (a)  $\mathbb{F}_3$ , the field with 3 elements.
- (b)  $\mathbb{F}_5$ , the field with 5 elements.
- (c)  $\mathbb{Q}$ , the rational numbers.

**Solution:** (a) Since  $x^3 - x + 1$  is a cubic, it is reducible if and only if it has a root. A quick check shows that it has no root in  $\mathbb{F}_3$  so it is irreducible over  $\mathbb{F}_3$ , hence separable and generates a Galois extension of degree divisible by 3 over  $\mathbb{F}_3$  (the extension generated by a single root has degree 3). Therefore there are only two possibilities for the Galois group:  $A_3$  or  $S_3$ . The Galois group is  $A_3$  if and only if the discriminant is the square of an element in  $\mathbb{F}_3$  (the Galois group is contained in  $A_n$  if and only if each  $\sigma \in G$  fixes  $\sqrt{D}$  if and only if  $\sqrt{D} \in F$  since  $F$  is the fixed field of the Galois group). In this case the discriminant is calculated to be

$$-4 \cdot (-1)^3 - 27 = -23 = 1 = 1^2 \text{ in } \mathbb{F}_3.$$

So the Galois group is indeed  $A_3$ . (Or just note that over a finite field all finite extensions are cyclic, so the Galois group cannot be  $S_3$  since it is not cyclic.)

(b) In this case a check shows that 3 is a root of  $x^3 - x + 1$  over  $\mathbb{F}_5$  and there are no other roots. So the polynomial splits into a linear term and an irreducible quadratic term. This generates a quadratic extension and the Galois group is  $\mathbb{Z}/2\mathbb{Z}$  (the order of the Galois group is equal to the degree of the extension).

(c) By the Rational Root Test, the only possible roots are  $\pm 1$  neither of which are roots, so  $x^3 - x + 1$  is irreducible over  $\mathbb{Q}$ . The discriminant is  $-23$  which is not a square in  $\mathbb{Q}$ , so the Galois group is  $S_3$ .  $\square$

- **Polynomials Over Finite Fields  $\rightsquigarrow$  Galois:** The splitting field of any polynomial over a finite field is Galois (the splitting field of a polynomial  $f$  is the splitting field of the product of irreducible factors of  $f$ , which is separable).
- **Characterization of Galois (Polynomial):** An extension  $E/F$  is Galois if and only if  $E$  is the splitting field of some separable polynomial over  $F$ . If this is true, every irreducible polynomial in  $F[x]$  which has a root in  $E$  is separable and has all its roots in  $E$ .
- **Characterization of Galois (Fixed Field):**  $E/F$  is Galois if and only if the fixed field of  $\text{Aut}_E F$  is exactly  $F$  (in general it is bigger).
- **Finite Extensions of Finite Fields are Cyclic:** If  $F$  is a finite field,  $E/F$  is finite, then  $E/F$  is Galois with cyclic Galois group ( $E^\times$  is cyclic so let  $\theta$  be a generator, then  $E = F(\theta)$  and  $[E : F] = \deg(m_F(\theta))$ ). By uniqueness of finite fields and the irreducibility of  $m_F(\theta)$ ,  $m_F(\theta)$  must split in  $E$ , so that  $E/F$  is Galois. Assuming for

simplicity that  $F = \mathbb{F}_p$ , some prime  $p$ , we see that the Galois group is then generated by the Frobenius automorphism).

- **Permutation of Roots:** Let  $E/F$  be a field extension and  $\alpha \in E$  algebraic over  $F$ . If  $\sigma \in \text{Aut}_E F$ , then  $\sigma(\alpha)$  is a root of  $m_F(\alpha)$  (this is obvious since  $\sigma$  fixes  $F$ ).
- **$\text{Gal}(E/F) \hookrightarrow S_n$ :** Let  $E/F$  be Galois. Then  $E$  is the splitting field of some separable  $f \in F[x]$  with  $n$  roots. So any  $\sigma \in \text{Gal}(E/F)$  defines a permutation of the  $n$  roots.
- **Discriminant:** The discriminant of a polynomial with roots  $x_1, \dots, x_n$  is given by

$$D = \prod_{i < j} (x_i - x_j)^2.$$

- **$\text{Gal}(E/F) \hookrightarrow A_n$ ?:** The Galois group  $G$  of  $f \in F[x]$  (the Galois group of a polynomial over  $F$  is the Galois group of the splitting field of  $f$  over  $F$ ) is a subgroup of  $A_n$  if and only if the discriminant  $D \in F$  is the square of an element of  $F$ .
- **Rational Root Test:** Suppose  $P(x) = a_n x^n + \dots + a_1 x + a_0$  is a polynomial with integer coefficients, and  $x = p/q$  is a rational root of  $P(x)$ , then

$$p \mid a_0 \text{ and } q \mid a_n.$$

**Problem 2.2.** A field extension  $K/\mathbb{Q}$  is called *biquadratic* if it has degree 4 and if  $K = \mathbb{Q}\sqrt{a}, \sqrt{b}$  for some  $a, b \in \mathbb{Q}$ .

(a) Show that a biquadratic extension is normal with Galois group  $\text{Gal}(K/\mathbb{Q}) \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  and list all sub-extensions.

(b) Prove that if  $K/\mathbb{Q}$  is a normal extension of degree 4 with  $\text{Gal}(K/\mathbb{Q}) \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  then  $K/\mathbb{Q}$  is biquadratic.

**Solution:** (a) First note that  $a \neq b$  since otherwise  $[K : \mathbb{Q}] = 2$ , which implies that  $f \equiv (x^2 - a)(x^2 - b)$  is separable.  $K$  is clearly the splitting field of  $f$  so  $K/\mathbb{Q}$  is normal. Next note that  $\mathbb{Q}(\sqrt{a}) \subset \mathbb{Q}(\sqrt{a}, \sqrt{b})$  corresponds to a subgroup  $H \subset \text{Gal}(K/\mathbb{Q})$  of order 2 by the **Galois Correspondence**. Similarly, by considering  $\mathbb{Q}(\sqrt{b})$ , there is another subgroup  $H'$  of order 2.  $H \neq H'$  by the Galois Correspondence, so the Galois group cannot be  $\mathbb{Z}/4\mathbb{Z}$  (which has only one subgroup of order 2). The only other possibility is  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ .

To describe the subfields, let  $\sigma, \tau \in \text{Gal}(K/\mathbb{Q})$ , with

$$\sigma : \sqrt{a} \mapsto -\sqrt{a}, \sqrt{b} \mapsto \sqrt{b}$$

and

$$\tau : \sqrt{a} \mapsto \sqrt{a}, \sqrt{b} \mapsto -\sqrt{b}.$$

Then we see that  $\sigma\tau = \tau\sigma$ , so

$$\text{Gal}(K/\mathbb{Q}) = \{\sigma, \tau \mid \sigma^2 = \tau^2 = e, \tau\sigma = \sigma\tau\}.$$

The subfields are then

$$K^{\langle\sigma\rangle}, K^{\langle\tau\rangle}, K^{\langle\sigma\tau\rangle},$$

where  $K^H = \{k \in K \mid \sigma(k) = k, \forall \sigma \in H\}$ . These are equal to

$$\mathbb{Q}(\sqrt{b}), \mathbb{Q}(\sqrt{a}), \mathbb{Q}(\sqrt{ab}).$$

(b) By the [Galois Correspondence](#),  $K$  contains two distinct quadratic extensions of  $\mathbb{Q}$ . These extensions are Galois since  $\text{Gal}(K/\mathbb{Q})$  is abelian so they must be splitting fields of irreducible quadratics, say  $\mathbb{Q}(\sqrt{a})$  and  $\mathbb{Q}(\sqrt{b})$  (here  $a \neq b$  are the [discriminants](#) of the respective quadratics).  $[\mathbb{Q}(\sqrt{a})(\sqrt{b})/\mathbb{Q}(\sqrt{a}) = \mathbb{Q}(\sqrt{a}, \sqrt{b})/\mathbb{Q}(\sqrt{a})$  has degree 1 or 2, but cannot be 1 since  $a \neq b$ , so  $\mathbb{Q}(\sqrt{a}, \sqrt{b})/\mathbb{Q}$  has degree 4, which implies  $K = \mathbb{Q}(\sqrt{a}, \sqrt{b})$ .  $\square$

- [Normal Extension](#): If  $E/F$  is algebraic, then  $E$  is a normal extension of  $F$  if  $E$  is the splitting field over  $F$  of a collection of polynomials  $f \in F[x]$ .

**Problem 2.3.** Let  $K$  be a finite extension of the field  $F$  with no proper intermediate subfields.

- If  $K/F$  is normal, show that the degree  $[K : F]$  is a prime.
- Give an example to show that  $[K : F]$  need not be prime if  $K/F$  is not normal, and justify your answer.

**Solution:** (a) Let  $E$  be the fixed field of  $\text{Aut}_F K$ . Since there are no proper intermediate fields,  $E = F$  or  $E = K$ . If  $E = F$ , then  $K/F$  is [Galois](#) and by the [Galois Correspondence](#), each subgroup of the Galois group corresponds to a subfield and we are done by [Cauchy's Theorem](#): If the degree is  $n$  not a prime, then  $\exists p < n$ ,  $p$  prime, such that  $p \mid n$ , Cauchy's theorem says there is an element  $g$  of order  $p$ , but then the subfield corresponding to  $\langle g \rangle$  would be a proper intermediate field, a contradiction.

If  $E = K$ , then  $\text{Aut}_F K$  is trivial. If  $F$  were a [perfect field](#), then it is the case that [any irreducible polynomial is separable](#).  $K/F$  is normal so it is the splitting field of a collection of polynomials over  $F$ . If  $f$  is one of the polynomials and  $\alpha$  is a root of  $f$  which does not lie in  $F$ , then  $F(\alpha) = K$ , which implies that  $K$  is the splitting field of  $m_F(\alpha)$ , which is irreducible, hence separable, so that  $K/F$  is Galois and we are done as before. We may therefore assume that  $F$  is not perfect and so in particular  $\text{char}(F) = p$ , where  $p$  is a prime.

With  $\alpha$  as before, we have that  $[K : F] = \deg(m_F(\alpha))$  and that  $\alpha$  is the only root of  $f$  which does not lie in  $F$  (if  $\beta$  were another one,  $F(\alpha) = F(\beta) = K$  and there would be an



automorphism of  $K$  sending  $\alpha$  to  $\beta$  and fixing everything in  $F$ , but since  $\text{Aut}_F K$  is trivial, we conclude that  $\alpha = \beta$ ). In the splitting field  $K$ , we have

$$m_F(\alpha) = (x - \alpha)(x - \alpha_1) \dots (x - \alpha_{n-1}).$$

Since  $m_F(\alpha)$  is irreducible, none of the  $\alpha_i$ 's is in  $F$ , so it must be the case that  $\alpha_i = \alpha, \forall i$ . Therefore

$$m_F(\alpha) = (x - \alpha)^n.$$

This is a polynomial over  $F$  so in particular  $\alpha^n \in F$ . If  $\alpha^k \in F$  for any  $k < n$ , then  $m_F(\alpha) \mid (x^k - \alpha^k)$  would be of lower degree, a contradiction. Since

$$(x - \alpha)^n = \sum_{k=0}^n \binom{n}{k} x^k \alpha^{n-k},$$

it must be the case that  $p \mid \binom{n}{k}, 1 \leq k < n$ . In particular  $p \mid n$  so  $n = \gamma p$ , some  $\gamma$ . Now we have

$$F \text{---} F(\alpha^p) \text{---} F(\alpha) = K.$$

Since there are no intermediate fields,  $F(\alpha^p) = F(\alpha) = K$ , so that  $\gamma = 1$  and  $[K : F] = p$ .

(b) Let  $F$  be a field and let  $\alpha$  be an element of order 4 over  $F$  such that the splitting field  $L$  of  $m_F(\alpha)$  has  $S_4$  as its Galois group. Let  $E = F(\alpha)$ , then  $[E : F] = 4$  which is not prime and  $[L : F] = 4! = 24$ . We claim there is no intermediate field between  $E$  and  $F$ .

By Galois Correspondence,  $E$  corresponds to some subgroup  $H \subset \text{Gal}(L/F) \cong S_4$  such that  $[S_4 : H] = 4$ . Showing that there is no intermediate field is then equivalent to showing that  $H \subset S_4$  is maximal. To this end, observe that  $A_4$  has no subgroup of index 2:  $|A_4| = 24/2 = 12$  so a subgroup of index 2 is a group of order 6, and hence isomorphic to either  $\mathbb{Z}/6\mathbb{Z}$  or  $S_3$ . It cannot be  $S_3$  since  $S_3$  contains odd permutations. It also cannot be  $\mathbb{Z}/6\mathbb{Z}$  since  $S_4$  does not contain any element of order 6: First notice that  $S_4$  does not contain any 6-cycles. Next if  $\sigma$  is an element of order 6, then writing  $\sigma = \tau_1 \dots \tau_n$ , where the  $\tau_i$  are disjoint cycles, we see that the order of  $\sigma$  is equal to the least common multiple of the  $\tau_i$ 's. Cycles in  $S_4$  can have lengths 1, 2, 3 or 4, so the only possibility is a cycle of length 2 and a cycle of length 3, but these cannot be disjoint (there are only 4 letters to permute), a contradiction.

Next observe that  $A_4$  is the only subgroup of index 2 in  $S_4$  (more generally,  $A_n$  is the only subgroup of index 2 in  $S_n$ : Notice that any subgroup  $A$  of index 2 is normal and hence  $S_n/A \cong \mathbb{Z}/2\mathbb{Z}$ . Therefore  $\sigma^2 A = A, \forall \sigma \in S_n \Rightarrow \sigma^2 \in A, \forall \sigma \in S_n$ . This in particular implies that all three cycles are in  $A$ , since if  $\tau$  is a three cycle, then  $\tau^2 = \tau^{-1}$ . But  $A_n$  is generated by three cycles, so  $A = A_n$ .) This shows that any subgroup of  $S_4$  of index 4 must be maximal: Suppose  $[S_n : A] = 4$  and  $A$  is not maximal, then  $\exists A \subset B$  a subgroup such that  $[B : A] > 1$ . So  $4 = [S_n : A] = [S_n : B][B : A] > [S_n : B] \Rightarrow [S_n : B] = 2 \Rightarrow B = A_n$

which is a contradiction, because then  $[A_n : A] = 2$ . We conclude that  $H$  is maximal since it has index 4. □

- **Perfection:** A field of characteristic  $p$  is called perfect if every element of  $K$  is a  $p^{\text{th}}$  power in  $K$ , i.e.  $K = K^p$ . Any field of characteristic 0 is also called perfect. Any finite field is perfect, as is  $\mathbb{Q}$ . Every irreducible polynomial over a perfect field is separable.

### 3 Fall 2002 – Fields

**Problem 3.1.** a) Determine the minimal polynomial of  $u = \sqrt{3 + 2\sqrt{2}}$  over  $\mathbb{Q}$ .

b) Determine the minimal polynomial of  $u^{-1}$  over  $\mathbb{Q}$ .

**Solution:** a)  $u^2 = 3 + 2\sqrt{2}$ , so  $(u^2 - 3)^2 = 8$  and therefore  $u$  is a root of

$$f \equiv x^4 - 6x^2 + 1.$$

Making the change of variable  $y = x^2$  and using the quadratic equation, we see that  $f$  cannot factor as a product of two quadratics. On the other hand, the rational root test shows that it is impossible for  $f$  to factor as a linear term times a cubic. We conclude that  $f$  is irreducible so  $f = m_{\mathbb{Q}}(u)$ .

b)  $(u^{-1})^2 = \frac{1}{3+2\sqrt{2}}$ . So  $3(u^{-1})^2 + 2\sqrt{2}(u^{-1})^2 = 1$ . Subtracting and squaring to get rid of  $\sqrt{2}$ , we see that  $u^{-1}$  is also a root of  $x^4 - 6x^2 + 1$ , which we have already determined to be irreducible, so  $m_{\mathbb{Q}}(u^{-1}) = x^4 - 6x^2 + 1$ . (Or just note  $x^4(\frac{1}{x^4} - \frac{6}{x^2} + 1) = x^4 - 6x^2 + 1$ .) □

**Problem 3.2.** a) Let  $F$  be the field generated by the roots of the polynomial  $X^6 + 3$  over  $\mathbb{Q}$ . Determine the Galois group  $F/\mathbb{Q}$ .

b) Describe all subfields of  $F$ .

**Solution:** a) Let  $\xi = e^{2\pi i/6}$ . Then the roots of  $X^6 + 3$  are  $\xi^i \sqrt[6]{-3}, 1 \leq i \leq 6$ . So  $F = \mathbb{Q}(\xi, \sqrt[6]{-3})$ . Now notice that

$$\xi = e^{\pi i/3} = \frac{1}{2} + i\frac{\sqrt{3}}{2} \in \mathbb{Q}(\sqrt[6]{-3}),$$

since  $(\sqrt[6]{-3})^3 = \sqrt{-3} = i\sqrt{3}$ . So we conclude  $F = \mathbb{Q}(\sqrt[6]{-3})$ . By **Eisenstein's Criterion**,  $X^6 + 3$  is irreducible and so it is the minimal polynomial of  $\sqrt[6]{-3}$  and therefore  $[F : \mathbb{Q}] = [\mathbb{Q}(\sqrt[6]{-3}) : \mathbb{Q}] = 6$ . This extension is Galois (since  $X^6 + 3$  has 6 distinct roots, hence separable) so let  $G$  denote the Galois group. Then we have that  $|G| = 6$ , which implies that  $G$  is either  $S_3$  or  $\mathbb{Z}/6\mathbb{Z}$  (these are the only groups of order 6). To decide which one it is,

notice that  $(\sqrt[6]{-3})^2 = (-3)^{1/3} = -\sqrt[3]{3}$ , so  $\sqrt[3]{3} \in F$ . Consider  $\mathbb{Q}(\sqrt[3]{3})/\mathbb{Q}$ . This extension is not Galois, since  $\sqrt[3]{3}$  is a root of  $X^3 - 3$  but  $\mathbb{Q}(\sqrt[3]{3})$  does not contain any other roots of  $X^3 - 3$ , which is also irreducible by Eisenstein. By the Fundamental Theorem of Galois Theory, there is a correspondence between subfields which are Galois over  $\mathbb{Q}$  and normal subgroups of  $G$ . We therefore conclude that  $G$  cannot be abelian since it contains a subgroup which is not normal (the subgroup fixing the subfield  $\mathbb{Q}(\sqrt[3]{3})$ , so the only possibility is  $G = S_3$ .

(If we had wished to be more explicit, we could have used the fact that [an element of the Galois group maps a root to a root](#) to make computations. First it is clear that  $G \subset S_6$ . Since  $\sqrt[6]{-3}$  generates  $\mathbb{Q}(\sqrt[6]{3})$  over  $\mathbb{Q}$ , any  $\sigma \in G$  is completely determined by  $\sigma(\sqrt[6]{-3})$ . Label the roots 1 through 6 such that  $\xi^i \sqrt[6]{3}$  is labeled  $i$ . Let  $G = \{\sigma_1, \sigma_2, \dots, \sigma_6\}$  such that  $\sigma_i$  maps  $\sqrt[6]{-3}$  to the  $i^{\text{th}}$  root. Consider  $\sigma_1 : \sqrt[6]{-3} \mapsto \xi \sqrt[6]{3}$ . We will know everything about  $\sigma_1$  once we figure out where it maps  $\xi = \frac{1}{2} + \frac{\sqrt{-3}}{2}$ . To this end notice we calculate:

$$\sigma_1(\sqrt{-3}) = \sigma_1((\sqrt[6]{-3})^3) = \xi^3 \sqrt{-3} = -\sqrt{-3} \Rightarrow \sigma\left(\frac{1}{2} + \frac{\sqrt{-3}}{2}\right) = \frac{1}{2} - \frac{\sqrt{-3}}{2} = \xi_5.$$

From this we see that  $\sigma_1^2(\xi \sqrt[6]{-3}) = \sigma_1(\sqrt[6]{-3}) = \sigma(\xi^5 \cdot \xi \sqrt[6]{-3}) = (\xi^5)^5 \sqrt[6]{-3} = \xi \sqrt[6]{-3}$  (since  $\xi^6 = 1$ ). So we conclude that  $\langle \sigma_6 \rangle$  is a subgroup of order 2. On the other hand, it is clear that  $\sigma_3 : \sqrt[6]{-3} \mapsto \xi^3 \sqrt[6]{-3} = -\sqrt[6]{-3}$  also has order 2. Therefore  $G$  contains two subgroups of order 2 and hence cannot be cyclic ([a cyclic group of order  \$n\$  contains a unique subgroup of order  \$d\$  for each  \$d \mid n\$](#) ), so  $G = S_3$ .)

b) By the Fundamental Theorem of Galois Theory, subfields of  $F$  corresponds to subgroups of  $G = S_3$  in an order reversing way.  $S_3$  has 3 subgroups of order 2, generated by the three transpositions:

$$\{e, (12)\}, \{e, (13)\}, \{e, (23)\}.$$

These correspond to the three subfields of  $F$  which has degree  $6/2 = 3$  over  $\mathbb{Q}$ :

$$\mathbb{Q}(\xi^2 \sqrt[3]{-3}), \mathbb{Q}(\xi^4 \sqrt[3]{3}), \mathbb{Q}(\sqrt[3]{3}).$$

$S_3$  has one subgroup of order 3 (3 is prime so this subgroup is cyclic. The only elements in  $S_3$  of order 3 are the cyclic permutations of order 3), namely

$$A_3 = \{e, (123), (123)^2\},$$

which correspond to the subfield of  $F$  which has degree 2 over  $\mathbb{Q}$ :

$$\mathbb{Q}(\sqrt{-3}).$$

There are no other non-trivial subfields. □

Suppose now instead we want to find the Galois group of  $X^6 - 3$ : Let  $\xi$  denote a primitive 6<sup>th</sup> root of unity and  $\alpha = e^{\pi i/6} \sqrt[6]{3}$ . Then  $F = \mathbb{Q}(\xi, \alpha)$ . We have  $[F : \mathbb{Q}] = [F : \mathbb{Q}(\alpha)][\mathbb{Q}(\alpha) :$

$\mathbb{Q}$ ].  $X^6 - 3$  is irreducible over  $\mathbb{Q}$  by [Eisenstein's Criterion](#), so it is the minimal polynomial of  $\alpha$  and therefore  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 6$ . The minimal polynomial of  $\xi$  over  $\mathbb{Q}$  is  $x^2 - x + 1 = (x - e^{i\pi/3})(x - e^{5\pi i/3})$ . Since the degree of the polynomial is 2 and neither of the root is in  $\mathbb{Q}(\alpha)$ ,  $x^2 - x + 1$  remains irreducible over  $\mathbb{Q}(\alpha)$  and we conclude that  $[F : \mathbb{Q}(\alpha)] = 2$  and  $[F : \mathbb{Q}] = 12$ .

The subextension  $\mathbb{Q}(\xi)/\mathbb{Q}$  is Galois (it is the splitting field of  $x^2 - x + 1$  over  $\mathbb{Q}$ ), so by the [Galois Correspondence](#) this corresponds to a normal subgroup  $H$  of  $\text{Gal}(F/\mathbb{Q})$  of order 6 fixing  $\mathbb{Q}(\xi)$ , which can be either  $\mathbb{Z}/6\mathbb{Z}$  or  $S_3$  (notice that [If  \$E/K/F\$  is such that  \$E/F\$  is Galois, then  \$E/K\$  is also Galois](#)). The roots of  $X^6 - 3$  are

$$\alpha, \xi\alpha, \xi^2\alpha, \xi^3\alpha, \xi^4\alpha, \xi^5\alpha.$$

Any  $\sigma \in \text{Aut}_{\mathbb{Q}(\xi)}F = \text{Gal}(F/\mathbb{Q}(\xi))$  must map a root to a root and hence is determined by  $\sigma(\alpha)$  (remember that  $\sigma$  fixes  $\xi$ , so if  $\sigma(\alpha) = \xi^m\alpha$ , then  $\sigma(\xi^k\alpha) = \xi^k\sigma(\alpha) = \xi^{m+k}\alpha$ , where  $m$  and  $k$  are defined modulo 6). We see that  $\gamma : \text{Aut}_{\mathbb{Q}(\xi)}F \rightarrow \mathbb{Z}/6\mathbb{Z}$  by  $\sigma \mapsto n$  where  $\sigma(\alpha) = \xi^n\alpha$  is an isomorphism (If  $\sigma$  and  $\tau$  are such that  $\sigma(\alpha) = \xi^m\alpha$  and  $\tau(\alpha) = \xi^n\alpha$ , then  $\sigma\tau(\alpha) = \xi^{m+n}\alpha$  and  $\sigma\tau(\xi^k\alpha) = \xi^k\sigma\tau(\alpha) = \xi^{m+n}(\xi^k\alpha)$ , so  $\gamma(\sigma\tau) = \gamma(\sigma) + \gamma(\tau)$ ) and therefore  $H \cong \mathbb{Z}/6\mathbb{Z}$ . By considering  $\mathbb{Q}(\alpha)/\mathbb{Q}$ , we also see that there is a subgroup  $H_2$  of order 2. Therefore  $\text{Gal}(F/\mathbb{Q}) \cong H \times H_2$ , which gives us two possibilities:  $\mathbb{Z}/6\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  or  $D_6$  (symmetries of a hexagon). Since  $\mathbb{Q}(\alpha)$  is not normal,  $\text{Gal}(F/\mathbb{Q})$  cannot be abelian and we are forced to conclude that  $\text{Gal}(F/\mathbb{Q}) = D_6$  (symmetries of a regular hexagon).

- [Eisenstein's Criterion](#): Let  $p$  be a prime in  $\mathbb{Z}$  and let

$$f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 \in \mathbb{Z}[x], n \geq 1.$$

Suppose

$$p \mid a_i, 0 \leq i < n \text{ but } p^2 \nmid a_0.$$

Then  $f(x)$  is irreducible in both  $\mathbb{Z}[x]$  and  $\mathbb{Q}[x]$ . Sometimes a change of variable is useful if this does not apply directly, e.g. if one can show that the polynomial with  $y = x + 1$  is irreducible, then the polynomial must also be irreducible in the original variable(just replace  $x$  by  $y$  in the factorization if it were reducible).

- [Trivial Observation](#): If  $E/K/F$  is such that  $E/F$  is Galois, then  $E/K$  is also Galois.
- [Dihedral Group](#): The dihedral group  $D_n$  is the group of symmetries of a regular  $n$ -gon. A regular  $n$ -gon has  $2n$  symmetries:  $n$  rotations and  $n$  reflections. A quick observation is then that there are  $n$  subgroups of order  $n$  if  $n$  is odd, corresponding to the  $n$  reflections and  $n + 1$  subgroups of order 2 if  $n$  is even, corresponding to the  $n$  reflections plus rotation by  $\pi$  radians about the origin. The presentation for  $D_n$  is

$$D_n = \{r, s \mid r^n = s^2 = 1, rs = sr^{-1}\},$$

where  $r$  is rotation counterclockwise about the origin through  $2\pi/n$  radians and  $s$  is one of the reflections.

- **Semidirect Product:**

- Let  $G$  be a group. Then  $G$  decomposes as a semidirect product of subgroups  $N$  and  $H$ , write  $G = N \rtimes H$ , if

- (i)  $N \triangleleft G$ ;    (ii)  $N \cap H = \{e\}$ ;    (iii)  $NH = G$ .

- (i) ensures that  $NH = HN$  is a group and (ii) and (iii) says every element can be written uniquely as  $nh$  with  $n \in N$ ,  $h \in H$ .

- On the other hand, if there are groups  $H$  and  $N$  such that there is a homomorphism

$$\alpha : H \longrightarrow \text{Aut}(N),$$

then  $N \times H$  is a group with multiplication given by

$$(n_1, h_1)(n_2, h_2) = (n_1\alpha(h_1)n_2, h_1h_2).$$

Denote this group by  $N \rtimes_{\alpha} H$ . We have

$$\tilde{N} \triangleleft (N \rtimes_{\alpha} H),$$

where  $\tilde{N} = \{(n, e) \mid n \in N\}$ . Define  $\tilde{H} = \{(e, h) \mid h \in H\}$ , then  $\tilde{N} \cap \tilde{H} = \{(e, e)\}$ . We see that

$$N \rtimes_{\alpha} H = \tilde{N}\tilde{H}$$

as a semidirect product.

- If  $G$  splits as a semidirect product of  $N$  and  $H$ , define

$$\Gamma : H \longrightarrow \text{Aut}(N) : h \mapsto \text{conjugation by } h,$$

then

$$N \rtimes_{\Gamma} H \longrightarrow G : (n, h) \mapsto nh$$

is a group isomorphism.

- Now suppose  $G$  is a finite group,  $N \triangleleft G$ ,  $N \cap H = \{e\}$  and  $|N||H| = |G|$ , then it is the case that  $NH = G$  and so  $G$  splits as a semidirect product of  $\tilde{N}$  and  $\tilde{H}$ . Explicitly, we have that

$$G = NH \cong N \rtimes_{\Gamma} H,$$

where  $\Gamma$  is conjugation by elements of  $H$ .

- \* We can in fact determine all possible isomorphism classes of  $NH$ .  $NH$  looks like  $N \rtimes_{\Gamma} H$ , where

$$(n_1, h_1)(n_2, h_2) = (n_1\Gamma(h_1)(n_2), h_1h_2) = (n_1(h_1n_2h_1^{-1}), h_1h_2).$$

Each  $\Gamma(h)$  is an automorphism of  $N$ . Note that by associativity and the fact that  $\Gamma$  is a homomorphism

$$\begin{aligned} (n_1, h_1)(n_2, h_2)(n_3, h_3) &= (n_1\Gamma(h_1)(n_2)\Gamma(h_1h_2)(n_3), h_1h_2h_3) \\ &= (n_1\Gamma(h_1)(n_2\Gamma(h_2)(n_3)), h_1h_2h_3) \\ &= (n_1\Gamma(h_1)(n_2)\Gamma(h_1)(\Gamma(h_2)(n_3)), h_1h_2h_3), \end{aligned}$$

so

$$\Gamma(h_1h_2) = \Gamma(h_1) \circ \Gamma(h_2)$$

and therefore

$$\alpha : H \longrightarrow \text{Aut}(N) : h \mapsto \Gamma(h)$$

is a homomorphism. This shows that each isomorphism class of  $NH$  arose from some homomorphism  $\alpha : H \longrightarrow \text{Aut}(N)$ . Conversely, given  $\alpha : H \longrightarrow \text{Aut}(N)$ , we can form  $N \rtimes_{\alpha} H$  and we have as before

$$N \rtimes_{\alpha} H \cong \tilde{N}\tilde{H} \cong NH,$$

where  $\tilde{N} = \{(n, 0) \mid n \in N\}$  and  $\tilde{H} = \{(0, h) \mid h \in H\}$ .

- \* Note that  $\Gamma$  is given by

$$\Gamma(h)(n) = hnh^{-1} = \alpha(h)(n).$$

- \* It is not the case that different  $\alpha$ 's necessarily lead to distinct isomorphism classes.

**Problem 3.3.** Let  $p$  be a prime integer such that  $p \equiv 2$  or  $3 \pmod{5}$ . Prove that the polynomial

$$1 + X + X^2 + X^3 + X^4$$

is irreducible over  $Z/pZ$ .

**Solution:**  $1 + X + X^2 + X^3 + X^4 = \frac{X^5-1}{X-1} \equiv \Phi_5(X)$ , the 5<sup>th</sup> cyclotomic polynomial and has as roots all the primitive 5<sup>th</sup> roots of unity. If  $\xi$  is a primitive 5<sup>th</sup> root of unity, we would like to find the least  $n$  such that  $\mathbb{F}_{p^n}$  contains  $\xi$ . It will be enough to show that  $n = 4$  (if  $\Phi_5(X) = f(X)g(X)$  were reducible, then say  $\eta$  is a root of  $f$  which has degree  $k$ , then  $\eta$  is a primitive root of unity and  $\mathbb{F}_p(\eta)$  would be isomorphic to  $\mathbb{F}_{p^k}$  with  $k < 4$ , a contradiction).

First suppose  $n$  is such that  $\xi \in \mathbb{F}_{p^n}$ .  $(\mathbb{F}_{p^n})^\times$  is cyclic so let  $\theta$  be a generator. Then  $\theta^{p^n-1} = 1$  and  $\theta$  raised to a lower power is not equal to 1. If  $\xi \in \mathbb{F}_{p^n}$ , then  $(\exists k \in \mathbb{N})(\theta^k = \xi)$  so

$$(\theta^k)^5 = 1 \text{ in } \mathbb{F}_{p^n} \text{ and } (\theta^k)^l \neq 1 \text{ in } \mathbb{F}_{p^n} \text{ for } l < 5.$$

This implies that

$$5k = \alpha(p^n - 1), \text{ some } \alpha \in \mathbb{N}.$$

If  $\alpha \mid 5$  then  $\alpha = 5$  since 5 is prime so we conclude  $k = p^n - 1$  and  $\xi = 1$ , a contradiction. If  $\alpha \nmid 5$ , then we can cancel  $\alpha$  on both sides of the equation to get that

$$5k' = (p^n - 1) \implies p^n \equiv 1 \pmod{5}.$$

Conversely, suppose  $p^n \equiv 1 \pmod{5}$ . Then  $p^n = 5k + 1$ , some  $k \in \mathbb{N}$ . Again let  $\theta$  be a generator of  $(\mathbb{F}_{p^n})^\times$ . Then  $(\theta^k)^5 = \theta^{p^n-1} = 1$  and so  $\theta^k$  is a primitive 5<sup>th</sup> root of unity. The roots of unity form a cyclic group, so that if  $\mathbb{F}_{p^n}$  contains one primitive root of unity it must contain all of them, which implies that  $\xi \in \mathbb{F}_{p^n}$ .

Now let  $n$  be the order of  $p$  in  $\mathbb{F}_5$ , i.e.  $n$  is minimal such that  $p^n \equiv 1 \pmod{5}$ . Then from the above we know that  $n$  is also the minimal  $n$  such that  $\xi \in \mathbb{F}_{p^n}$ . For both  $p \equiv 2 \pmod{5}$  and  $p \equiv 3 \pmod{5}$  we have that  $n = 4$  so  $\Phi_5$  is irreducible over  $\mathbb{F}_p$  (here we use the fact that  $\mathbb{Z}/n\mathbb{Z}$  is a ring so that  $\overline{a_1} = \overline{b_1}, \overline{a_2} = \overline{b_2}$ , then  $\overline{a_1 + a_2} = \overline{b_1 + b_2}$  and  $\overline{a_1 a_2} = \overline{b_1 b_2}$ ).  $\square$

- **Factorization of  $\Phi_q$  over  $\mathbb{F}_p$ :** Let  $p \neq q$  be primes. If  $n$  is the least integer such that  $p^n \equiv 1 \pmod{q}$ , then the minimal polynomial of  $\xi_q$  has degree  $n$  over  $\mathbb{F}_p$ . Moreover,  $\Phi_q$  is the product of  $\frac{q-1}{n}$  distinct irreducible polynomials of degree  $n$  in  $\mathbb{F}_p$ .
- **$\mathbb{Z}/n\mathbb{Z}$ :**  $\mathbb{Z}/n\mathbb{Z} = \{\overline{0}, \overline{1}, \dots, \overline{n-1}\}$  form a commutative ring with 1 under addition and multiplication modulo  $n$  (these operations are of course both well-defined).

## 4 Winter 2003 – Fields

**Problem 4.1.** Let  $\mathbb{F}_q$  be the finite field of  $q$  elements. Answer the following questions:

- List all subfields of  $\mathbb{F}_{p^6}$  for a prime  $p$ . Justify your answer.
- Find a formula for the number of monic irreducible polynomials of degree 6 in  $\mathbb{F}_p[X]$ . Justify your answer.

**Solution:** (a)  $\mathbb{F}_{p^6}$  is the splitting field of  $x^{p^6} - x$  over  $\mathbb{Z}_p$  ( $x^{p^6} - x$  is separable since the derivative is  $-1$  and has no root and therefore has  $p^6$  roots, so by order counting,  $\mathbb{F}_{p^6}$  is contained in the splitting field. On the other hand, each element in  $\mathbb{F}_{p^6}^\times$  satisfies  $x^{p^6-1} = 1$  and so each element in  $\mathbb{F}_{p^6}$  is a root of  $x^{p^6} - x$  and we conclude the splitting field is equal

to  $\mathbb{F}_{p^6}$ ). The Galois group  $\text{Gal}(\mathbb{F}_{p^6}/\mathbb{F}_p)$  is generated by the Frobenius automorphism and is cyclic of order 6, hence isomorphic to  $\mathbb{Z}/6\mathbb{Z}$ . The two non-trivial subgroups of  $\mathbb{Z}/6\mathbb{Z}$ , namely  $\mathbb{Z}/2\mathbb{Z}$  and  $\mathbb{Z}/3\mathbb{Z}$  correspond to intermediate fields between  $\mathbb{F}_{p^6}$  and  $\mathbb{F}_p$  of degree (over  $\mathbb{F}_p$ ) 3 and 2, respectively. These are the unique splitting fields of  $x^{p^3} - x$  and  $x^{p^2} - x$  over  $\mathbb{F}_p$ , namely  $\mathbb{F}_{p^3}$  and  $\mathbb{F}_{p^2}$ , respectively.

(b) Let  $f$  be an irreducible polynomial over  $\mathbb{F}_p$  of degree  $d \mid 6$ . If  $\alpha$  is a root of  $f$ , then  $\mathbb{F}_p(\alpha)/\mathbb{F}_p$  has degree  $d$  and hence is equal to  $\mathbb{F}_{p^d}$  by uniqueness of splitting fields.  $\mathbb{F}_{p^d}/\mathbb{F}_p$  is Galois, so  $\mathbb{F}_{p^d} \subset \mathbb{F}_{p^6}$  in fact contains all roots of  $f$ . Since  $\mathbb{F}_{p^6}$  is equal to the set of roots of  $x^{p^6} - x$ , it must be the case that  $f \mid x^{p^6} - x$ . Now let  $g$  be the product of all (monic) minimal polynomials of elements in  $\mathbb{F}_{p^6}$  with no repeats, then it follows that  $g \mid x^{p^6} - x$ . Conversely, it is clearly that  $x^{p^6} - x \mid g$  and so we conclude  $g = x^{p^6} - x$ . Since any irreducible polynomial of degree  $d$  is the minimal polynomial of (each of) its roots, we see that in fact  $x^{p^6} - x$  is the product of all irreducible polynomials over  $\mathbb{F}_p$  of degree  $d \mid 6$ .

Now let  $\psi(d) =$  number of irreducible polynomials of degree  $d$  over  $\mathbb{F}_p$ . Then (since the degree of  $x^{p^6} - x$  is  $p^6$ ) we have

$$p^6 = \sum_{d \mid 6} d\psi(d).$$

By the Mobius Inversion Formula, we get

$$6\psi(6) = \sum_{d \mid 6} \mu(d)p^{6/d},$$

where  $\mu(1) = 1$ ,  $\mu(n) = 0$  if  $n$  contains a square factor, and  $\mu(n) = (-1)^r$  if  $n$  has  $r$  distinct prime factors. Calculating, we get

$$\begin{aligned} 6\psi(6) &= \mu(1)p^6 + \mu(2)p^3 + \mu(3)p^2 + \mu(6)p \\ &= p^6 - p^3 - p^2 + p. \end{aligned}$$

So  $\psi(6) = \frac{1}{6}(p^6 - p^3 - p^2 + p)$ .

Instead of using the Mobius Inversion Formula we could have proceeded as follows. First note that if  $f$  is an irreducible polynomial over  $\mathbb{F}_p$ , then  $f$  is separable. Say the degree of  $f$  is 6, then  $f$  has 6 distinct roots and it is the case that none of these roots can lie in  $\mathbb{F}_{p^2}$  or  $\mathbb{F}_{p^3}$  (if  $\alpha$  is a root of  $f$ , then  $f = m_{\mathbb{F}_p}(\alpha)$  has degree 6. If say  $\alpha \in \mathbb{F}_{p^2}$ , then the degree of  $\alpha$  over  $\mathbb{F}_p$  would be 2, a contradiction). Now observe that  $\mathbb{F}_{p^2}$  and  $\mathbb{F}_{p^3}$  intersect in  $\mathbb{F}_p$  since 2 and 3 are relatively prime, so inclusion-exclusion gives that there are

$$p^6 - p^3 - p^2 + p$$

elements of degree 6 over  $\mathbb{F}_p$  in  $\mathbb{F}_{p^6}$ . It suffices to count the number of minimal polynomials of these elements of order 6 (as before if  $f$  is irreducible of degree 6, then it is the minimal



polynomial of (each of) its roots). Each such minimal polynomials has 6 distinct roots, so there must be  $\frac{1}{6}(p^6 - p^3 - p^2 + p)$  monic irreducible polynomials over  $\mathbb{F}_p$  of degree 6. (Explicitly, pick an element  $\alpha_1$  of degree six, write down its minimal polynomial  $f_1$ . Now pick some  $\alpha_2$  which is not equal to any of the roots of  $f_1$  and write down its minimal polynomial  $f_2$ . Continue this way, we will eventually exhaust all elements of degree 6 and will have found all irreducible polynomials of degree 6. Notice this implies that  $6 \mid p^6 - p^3 - p^2 + p$ .  $\square$ )

- $x^{p^n} - x \Leftrightarrow \mathbb{F}_{p^n}$ : The finite field  $\mathbb{F}_{p^n}$  is precisely the set of roots of the polynomial  $x^{p^n} - x$  over  $\mathbb{F}_p$ .
- $x^{p^n} - x$ : The polynomial  $x^{p^n} - x$  over  $\mathbb{F}_p$  is in fact the product of all irreducible polynomials of degree  $d \mid n$  over  $\mathbb{F}_p$ .
- **Mobius Inversion Formula**: Let  $f(n)$  be a function defined for all nonnegative integers  $n$  and let

$$F(n) = \sum_{d \mid n} f(d).$$

Then we have

$$f(n) = \sum_{d \mid n} \mu(d) F\left(\frac{n}{d}\right),$$

where  $\mu(1) = 1$ ,  $\mu(n) = 0$  if  $n$  has a square factor, and  $\mu(n) = (-1)^r$  if  $n$  has  $r$  distinct prime factors.

- **Splitting Fields of Irreducible Polynomials over  $\mathbb{F}_p$** : Let  $f$  be irreducible of degree  $n$  over  $\mathbb{F}_p$ . Then the splitting field of  $f$  is exactly  $\mathbb{F}_{p^n}$ .

**Problem 4.2.** Let  $K/F$  be a quadratic extension of fields and  $M/F$  be a Galois extension over  $F$  containing  $K$  such that  $\text{Gal}(M/K)$  is a cyclic group of odd prime order  $p$ . Answer the following two questions:

(a) Determine the possible groups  $\text{Gal}(M/F)$  up to isomorphisms, and justify your answer.

(b) Find the number of intermediate fields  $L$  between  $F$  and  $M$  with  $[L : F] = p$ . Justify your answer.

**Solution:** (a) Let  $G = \text{Gal}(M/F)$ . Then

$$|G| = [M : F] = [M : K][K : F] = 2p.$$

Let  $H = \text{Gal}(M/K)$ . First we claim  $H \triangleleft G$ :  $H$  has order  $p$  so  $[G : H] = 2$ . Now let  $G$  act on the cosets of  $H$  by left multiplication. This induces

$$\lambda : G \longrightarrow S_2 : g \mapsto \lambda_g : aH \mapsto haH.$$

We have  $\ker(\lambda) = \bigcap_{g \in G} gHg^{-1}$  and by the [First Isomorphism Theorem](#) and [Lagrange's Theorem](#),  $|G/\ker(\lambda)| \mid |S_2| = 2$ , which forces  $|\ker(\lambda)| = p$  and therefore  $H = \ker(\lambda) \triangleleft G$ . Next by [Cauchy's Theorem](#), there is a subgroup  $H_2 \subset G$  of order 2. Hence

$$G = HH_2 \cong \mathbb{Z}/p\mathbb{Z} \rtimes \mathbb{Z}/2\mathbb{Z}$$

as a semidirect product. This gives us two possibilities:  $\mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  or  $D_p$  (symmetries of a  $p$ -gon).

(b) By the [Galois Correspondence](#), intermediate field  $L$  such that  $[L : F] = p$  correspond to subgroups of  $G$  of order 2. If  $G \cong \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ , then there is exactly one subgroup of order 2 since  $p$  is odd. If  $G \cong D_p$ , then there are  $p$  subgroups of order 2, corresponding to the  $p$  reflections (there is a reflection through each line joining a vertex and the side opposite to it).  $\square$

**Problem 4.3.** Find the degree of the splitting field  $E$  of  $X^6 - 3$  over the following fields:

- (a)  $\mathbb{Q}(\sqrt{-3})$  ( $\mathbb{Q}$ : the field of rational numbers);
  - (b)  $\mathbb{F}_7$ , the field with 7 elements;
  - (c)  $\mathbb{F}_5$ , the field with 5 elements,
- and justify your answer.

**Solution:** (a)  $X^6 - 3$  is irreducible over  $\mathbb{Q}$  by [Eisenstein's Criterion](#) applied with the prime 3. The splitting field is given by

$$E = \mathbb{Q}(\xi, 3^{1/6}),$$

where  $\xi = e^{\frac{2\pi i}{6}}$  is a primitive 6<sup>th</sup> root of unity. We claim that  $[\mathbb{Q}(\xi, 3^{1/6}) : \mathbb{Q}] = 12$ : First we have that  $[\mathbb{Q}(3^{1/6}) : \mathbb{Q}] = 6$ . Next it is clear that the [cyclotomic polynomial](#)  $X^2 - X + 1 = \Phi_6$  (which has  $\xi$  and  $\xi^5$  as roots) remains irreducible over  $\mathbb{Q}(3^{1/6})$ , so  $[\mathbb{Q}(3^{1/6}, \xi) : \mathbb{Q}(3^{1/6})] = 2$ . Finally,  $X^2 + 3$  is irreducible over  $\mathbb{Q}$  so is the minimal polynomial of  $\sqrt{-3}$ . Hence  $[\mathbb{Q}(\sqrt{-3}) : \mathbb{Q}] = 2$  and

$$12 = [E : \mathbb{Q}] = [E : \mathbb{Q}(\sqrt{-3})]2,$$

so  $[E : \mathbb{Q}(\sqrt{-3})] = 6$ .

(b) First note that  $3^6 \equiv 1 \pmod{7}$ . So

$$x^6 - 3 \mid (x^6)^6 - 3^6 = x^{36} - 1.$$

$\mathbb{F}_{7^n}$  is the (unique) splitting field of  $x^{7^n} - x$ , so if the roots of  $x^6 - 3$  are contained in  $\mathbb{F}_{7^n}$ , then it must be the case that  $x^6 - 3 \mid x^{7^n} - x = x(x^{7^n-1} - 1)$ . Since  $(x^m - 1) \mid (x^n - 1)$  if and only if  $m \mid n$ , we now wish to find the least integer  $m$  such that  $7^m \equiv 1 \pmod{36}$ . To this

end notice first that  $7 \in (\mathbb{Z}/36\mathbb{Z})^\times \cong (\mathbb{Z}/2^2\mathbb{Z})^\times \times (\mathbb{Z}/3^2\mathbb{Z})^\times \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/6\mathbb{Z}$ , by the [Chinese Remainder Theorem](#). So 7 has either order 2 or order 6 in  $(\mathbb{Z}/36\mathbb{Z})^\times$ . It clearly does not have order 2 so it must be the case that  $7^6 \equiv 1 \pmod{36}$ . So  $x^{36} - 1 \mid x^{7^6-1} - 1 \mid x^{7^6} - x$  and hence all roots of  $x^6 - 3$  are contained in  $\mathbb{F}_{7^6}$  which implies that  $E \subset \mathbb{F}_{7^6}$  and so  $[E : \mathbb{F}] = 1, 2, 3, \text{ or } 6$  (since it must divide  $[\mathbb{F}_{7^6} : \mathbb{F}_7] = 6$ ).

$E \neq \mathbb{F}_7$  since  $a^6 = 1 \neq 3$  for all  $a \in \mathbb{F}_7$ .

$E \neq \mathbb{F}_{7^2}$  since  $a^{7^2-1} = a^{48} = (a^6)^8 = 1$  for all  $a \in \mathbb{F}_{7^2}$ , but if  $a$  is a root of  $x^6 - 3$ , then  $a^6 = 3$  so  $(a^6)^8 = 3^8 = 3^2(3^6) \equiv 2 \pmod{7}$  (we have used the fact that  $3^6 \equiv 1 \pmod{7}$ ) and is not equal to 1.

$E \neq \mathbb{F}_{7^3}$  since  $a^{7^3-1} = a^{342} - 1 = (a^6)^{57} - 1 = 1$  for all  $a \in \mathbb{F}_{7^3}$ , but if  $a$  is a root of  $x^6 - 3$ , then  $a^6 = 3$  so  $(a^6)^{57} = 3^{57} = (3^3)^{19} = 27^3 = 6$  and is not equal to 1.

We are therefore left to conclude that  $E = \mathbb{F}_{7^6}$  and  $[E : \mathbb{F}_7] = 6$ .

(c) By the same reasoning as in (b), we calculate:

$$x^6 - 3 \mid (x^6)^4 - 3^4 = x^{24} - 1 \mid x^{25} - x = x^{5^2} - x,$$

so all the roots of  $x^6 - 3$  are contained in  $\mathbb{F}_{5^2}$ . Hence  $E \subset \mathbb{F}_{5^2}$ .  $x^{5^2} - x$  has distinct roots since it has derivative  $-1$  so  $x^6 - 3$  must also have distinct roots since it divides  $x^{5^2} - x$ . Therefore  $x^6 - 3$  must have 6 roots and so  $E \neq \mathbb{F}_5$  (which only has 5 elements) and we conclude  $E = \mathbb{F}_{5^2}$  and  $[E : \mathbb{F}_5] = 2$ .  $\square$

- **Important Fact About Finite Fields:** Every  $a \in \mathbb{F}_{p^n}$  ( $p$  a prime integer) satisfies  $a^{p^n} = a$  and every  $0 \neq a \in \mathbb{F}_{p^n}$  satisfies  $a^{p^n-1} = 1$ .
- **Cyclotomic Polynomials:** The  $n^{\text{th}}$  cyclotomic polynomial  $\Phi_n(x)$  is defined to be the polynomial whose roots are the primitive  $n^{\text{th}}$  roots of unity:

$$\Phi_n(x) = \prod_{a < n; (a,n)=1} (x - \xi_n^a),$$

where  $\xi_n = e^{\frac{2\pi i}{n}}$ . Clearly  $\deg(\Phi_n) = \phi(n)$ , where  $\phi$  is the Euler  $\phi$ -function. Notice that

$$x^n - 1 = \prod_{d|n} \Phi_d(x).$$

This allows us to compute  $\Phi_n(x)$  recursively. It can also be shown inductively that  $\Phi_n(x) \in \mathbb{Z}[x]$ .

Note that comparing degrees in the last equation gives

$$n = \sum_{d|n} \phi(d).$$

Applying the Mobius Inversion Formula to  $n = F(n) = \sum_{d|n} \phi(d)$ , we get

$$\phi(n) = \sum_{d|n} \mu(d) \left(\frac{n}{d}\right).$$

- **Cyclotomic Extension (general case):** If we can now show that  $\Phi_n(x)$  is irreducible, then we will be able to conclude that

$$[\mathbb{Q}(\xi_n) : \mathbb{Q}] = \phi(n).$$

To this end suppose

$$\Phi_n(x) = f(x)g(x),$$

with  $f, g \in \mathbb{Z}[x]$ ,  $f$  irreducible. Let  $\xi$  be a root of  $f$  and  $p \nmid n$  a prime. Then  $\xi^p$  is a root of  $\Phi_n(x)$ . If  $g(\xi^p) = 0$ , then  $\xi$  is a root of  $g(x^p)$ . Reducing mod  $p$  and using the fact that  $f$  is the minimal polynomial of  $\xi$ , we get that

$$(\bar{g}(x))^p = \bar{f}(x)\bar{h}(x),$$

some  $h(x) \in \mathbb{Z}[x]$ . This implies  $\bar{f}$  and  $\bar{g}$  have a common root, but this implies then  $\bar{\Phi}_n(x)$  and therefore  $x^n - 1$  has a multiple root over  $\mathbb{F}_p$ . This is a contradiction since  $x^n - 1$  is separable (it has derivative  $nx^{n-1}$  which is relatively prime to  $x^n - 1$ ). So  $\xi^p$  is a root of  $f$  for each  $p \nmid n$ . Now given any  $a$  such that  $(a, n) = 1$ , write  $a = p_1 \dots p_k$  with  $p_i$  primes, then we see that  $\xi^a = (((\xi^{p_1})^{p_2}) \dots)^{p_k}$  is a root of  $f$  and therefore all primitive  $n^{\text{th}}$  roots of unity are roots of  $f$  and we conclude  $\Phi_n(x) = f(x)$  is irreducible. The map

$$(\mathbb{Z}/n\mathbb{Z})^\times \longrightarrow \text{Gal}(\mathbb{Q}(\xi_n)/\mathbb{Q}) : \bar{a} \mapsto \sigma_a : \sigma_a(\xi_n) = \xi_n^a$$

is an isomorphism. So

$$\text{Gal}(\mathbb{Q}(\xi_n)/\mathbb{Q}) \cong (\mathbb{Z}/n\mathbb{Z})^\times.$$

- $(a - b) \mid (a^n - b^n)$ :

$$(a - 1)(a^{n-1} + a^{n-2} + \dots + a + 1) = a^n - 1$$

since all the intermediate terms telescope. So

$$\begin{aligned} a^n - b^n &= b^n \left( \left(\frac{a}{b}\right)^n - 1 \right) = b^n \left( \left(\frac{a}{b}\right) - 1 \right) \left( \left(\frac{a}{b}\right)^{n-1} + \left(\frac{a}{b}\right)^{n-2} + \dots + \left(\frac{a}{b}\right) + 1 \right) \\ &= (a - b)(a^{n-1} + a^{n-2}b + \dots + ab^{n-1} + b^{n-1}). \end{aligned}$$

- $(x^m - 1) \mid (x^n - 1) \Leftrightarrow m \mid n$ : By the previous item,  $(x^m - 1) \mid ((x^m)^k - 1) = x^{km} - 1$  for any  $k \in \mathbb{N}$ . Conversely, suppose  $(x^m - 1) \mid (x^n - 1)$ . The roots of  $x^m - 1$  are precisely the  $m^{\text{th}}$  roots of unity. Let  $\xi$  be a primitive  $m^{\text{th}}$  root of unity. Since  $(x^m - 1) \mid (x^n - 1)$ ,  $\xi$  must also be a  $n^{\text{th}}$  root of unity. By the [Division Algorithm](#), write  $n = mk + r$ , where  $r < m$ . Then we have that

$$1 = \xi^n = \xi^{mk+r} = \xi^{mk} \xi^r = \xi^r,$$

from which we conclude that  $\xi^r = 1$ , but since  $\xi$  is a primitive root of unity, it must be the case that  $r = 0$  and therefore  $m \mid n$ .